

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

00909

RULE 53(f) NO DECLARATIONHon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt.

P 283665

M#

000425 BT

Client Ref

Date:

September 27, 2001

This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

Complete) Title:

Fermentation Process for the Preparation of L-Amino Acids Using Strains of the Family
Enterobacteriaceaewithout a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).
4. 44 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language
6. 27 Numbered claim(s); and
7. ☒ Drawings: 5 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"
9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/237,610	October 4, 2000	(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in GERMANY

Application No.	Filing Date	Application No.	Filing Date
(1) DE 100 48 605.3	September 30, 2000	(2) DE 100 55 516.0	November 9, 2000
(3) DE 101 30 192.8	June 22, 2001	(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. 3 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. / filed on _____
12. ☐ This is a reissue of Patent No. _____
13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)
14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:
- No. 60/237,610 filed October 4, 2000
- No. / filed _____
- No. / filed _____
- No. PCT/ / filed _____, which designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English
15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications
16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed
17. ☐ Small Entity Status is claimed (**pre**-filing confirmation **required**)
- 17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement **not essential** to make claim)
- 17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

18. ☐ Assignee (optional): _____

19. ☒ **Attached: Preliminary Amendment**

20. This application is made by the following named inventor(s) **(Double check instructions for accuracy.):**
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Mechthild		RIEPING
	First	Middle Initial	Family Name
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Mailing Address			
(include Zip Code)			

(2) Inventor	Christine		BASTUCK
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(4) Inventor	Georg		THIERBACH
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Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP
Intellectual Property Group



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Atty/Sec: MAS/AMX

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments